

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood
Serial No.: 09/608,682
Filed: June 29, 2000
Title: RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM

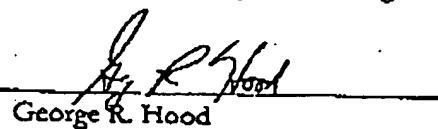
Examiner: Andrew Joseph Rudy
Group Art Unit: 3627
Docket: 9011

DECLARATION OF GEORGE R. HOOD UNDER 37 C.F.R. § 1.132

I, GEORGE R. HOOD, declare as follows:

1. I am a named inventor on the patent application identified above, and am authorized by the Assignee to make this declaration.
2. I am the sole inventor of the claims in the above-identified patent application.
3. The subject matter disclosed in the publication entitled Curley, "Royal Bank Unearths Profitability Solution," which was cited by the Patent Office during the examination of the above identified patent application, comprises a description of my invention. The publication includes statements concerning the invention that were made by representatives of NCR Corporation, the assignee of the above-identified patent application.
4. I have no knowledge of the publication, or any other publication, being published prior to one year before filing date of the above-identified patent application.
5. I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 10/9/02


George R. Hood

RECEIVED
CENTRAL FAX CENTER

OCT 14 2003

OFFICIAL

Gates & Cooper _{LLP}

Howard Hughes Center
 6701 Center Drive West, Suite 1050
 Los Angeles, California 90045

FAX TRANSMISSION TO USPTO

TO: Commissioner for Patents
Attn: Examiner Andrew Joseph Rudy
 Patent Examining Corps
 Facsimile Center
 Alexandria, VA 22313-1450

FROM: George H. Gates
 OUR REF.: 9011
 TELEPHONE: (310) 642-4146

OFFICIAL

Total pages, including cover letter: 6

RECEIVED
 CENTRAL FAX CENTER

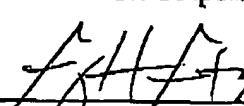
PTO FAX NUMBER: 703 872 9326

OCT 14 2003

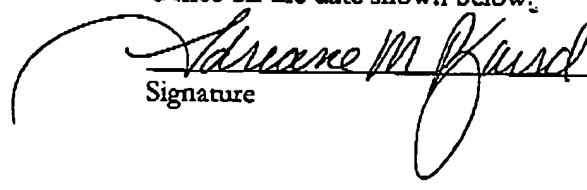
If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document	RESPONSE UNDER 37 C.F.R. 1.105 AND DECLARATION OF GEORGE R. HOOD UNDER 37 C.F.R. 1.132
Transmitted:	
Applicant:	George R. Hood
Serial No.:	09/608,682
Filed:	June 29, 2000
Group Art Unit:	3627
Our Ref. No.:	9011

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation, the assignee of the present application.

By: 
 Name: George H. Gates
 Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.


 Signature

10/10/03
 Date

GHG/amb

G&C 30145.392-US-01

Due Date: October 11, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood Examiner: Andrew Joseph Rudy
Serial No.: 09/608,682 Group Art Unit: 3627
Filed: June 29, 2000 Docket: 9011
Title: RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN A
RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on October 10, 2003.

By: 
Name: George H. Gates

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- Response Under 37 C.F.R. 1.105.
- Declaration of George R. Hood Under 37 C.F.R. 1.132

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 
Name: George H. Gates
Reg. No.: 33,500
GHG/amb